

**Thomas Ehrhard's 60 birthday**

$\partial$  is for Dialectica

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Work in collaboration with Pierre-Marie Pédrot

Thank you Thomas

... For the opportunity to finally understand **differentiation**.

What's differentiation ?

Thank you Thomas

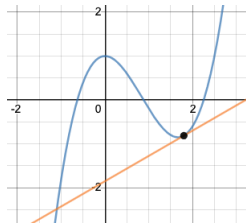
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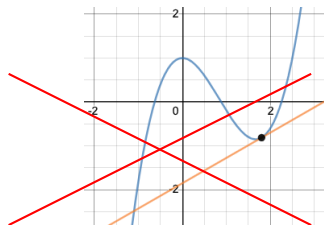
What's differentiation ?



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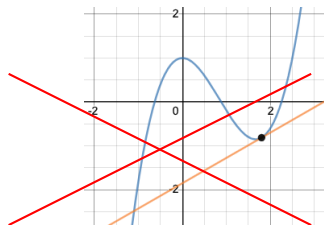
What's differentiation ?



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## What's differentiation ?



$$\frac{d}{dx}(c) = 0$$

$$\frac{d}{dx}(u \pm v \pm \dots) = \frac{du}{dx} \pm \frac{dv}{dx} \pm \dots$$

$$\frac{d}{dx}(uv) = u \frac{dv}{dx} + v \frac{du}{dx}$$

$$\frac{d}{dx}(u^2) = 2u \frac{du}{dx}$$

$$\frac{d}{dx}e^u = e^u \frac{du}{dx}$$

$$\frac{d}{dx}\sin u = \cos u \frac{du}{dx}$$

$$\frac{d}{dx}\cos u = -\sin u \frac{du}{dx}$$

$$\frac{d}{dx}(c) = 0$$

$$\frac{d}{dx}(cu) = c \frac{du}{dx}$$

$$\frac{d}{dx}\left(\frac{u}{v}\right) = \frac{v \frac{du}{dx} - u \frac{dv}{dx}}{v^2}$$

$$\frac{d}{dx}\log_e u = \frac{1}{u} \frac{du}{dx}$$

$$\frac{d}{dx}a^x = a^x \ln a$$

$$\frac{d}{dx}x^u = u x^{u-1} + x^u \ln x \frac{dx}{dx}$$

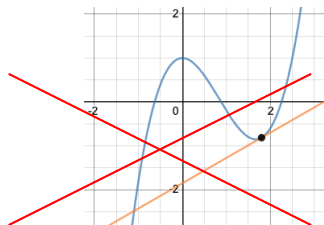
$$\frac{d}{dx}\cot u = -\operatorname{csc}^2 u \frac{du}{dx}$$

$$\frac{d}{dx}\sec u = \sec u \tan u \frac{du}{dx}$$

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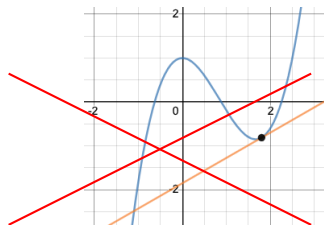


$\frac{d}{dx}(x) = 1$	$\frac{d}{dx}(a) = 0$
$\frac{d}{dx}(u \pm v \pm \dots) = \frac{du}{dx} \pm \frac{dv}{dx} \pm \dots$	$\frac{d}{dx}(au) = a \frac{du}{dx}$
$\frac{d}{dx}(uv) = u \frac{dv}{dx} + v \frac{du}{dx}$	$\frac{d}{dx}\left(\frac{u}{v}\right) = \frac{v \frac{du}{dx} - u \frac{dv}{dx}}{v^2}$
$\frac{d}{dx}(u^n) = nu^{n-1} \frac{du}{dx}$	$\frac{d}{dx} \ln u = \frac{1}{u} \frac{du}{dx}$
$\frac{d}{dx} e^u = e^u \frac{du}{dx}$	$\frac{d}{dx} a^u = a^u \ln a \frac{du}{dx}$
$\frac{d}{dx} \sin u = \cos u \frac{du}{dx}$	$\frac{d}{dx} u^{-1} = -1 \frac{du}{dx} = -u^{-2} \frac{du}{dx}$
$\frac{d}{dx} \cos u = -\sin u \frac{du}{dx}$	$\frac{d}{dx} \cot u = -\csc^2 u \frac{du}{dx}$
	$\frac{d}{dx} \sec u = \sec u \tan u \frac{du}{dx}$

# Thank you Thomas

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## What's differentiation ?



$\frac{d}{dx}(x) = 1$	$\frac{d}{dx}(a) = 0$
$\frac{d}{dx}(a \pm b \pm \dots) = \frac{da}{dx} \pm \frac{db}{dx} \pm \dots$	$\frac{d}{dx}(au) = a \frac{du}{dx}$
$\frac{d}{dx}(uv) = u \frac{dv}{dx} + v \frac{du}{dx}$	$\frac{d}{dx}\left(\frac{u}{v}\right) = \frac{v \frac{du}{dx} - u \frac{dv}{dx}}{v^2}$
$\frac{d}{dx}(x^n) = nx^{n-1}$	$\frac{d}{dx} \ln u = \frac{1}{u} \frac{du}{dx}$
$\frac{d}{dx} e^u = e^u \frac{du}{dx}$	$\frac{d}{dx} u^a = a u^{a-1} \frac{du}{dx}$
$\frac{d}{dx} \sin u = \cos u \frac{du}{dx}$	$\frac{d}{dx} \cos u = -\sin u \frac{du}{dx}$
$\frac{d}{dx} \cos u = -\sin u \frac{du}{dx}$	$\frac{d}{dx} \sec u = \sec u \tan u \frac{du}{dx}$

## That's differentiation !

$$\frac{\partial}{\partial x}((\lambda z.t)u) \cdot s = \left(\frac{\partial(\lambda z.t)}{\partial x} \cdot s\right)u + (D(\lambda z.y) \cdot \left(\frac{\partial u}{\partial x} \cdot s\right))u$$



What's this talk is about

Joining **Dialectica** and **Differential  $\lambda$ -calculus**  
through  
Reverse Differentiation

What's this talk is about

Joining **Dialectica** and **Differential Linear Logic**  
through  
Reverse Differentiation

What's this talk is about

Joining **Dialectica** and **Differential Categories**  
through  
Reverse Differentiation

What's this talk is about

Joining **Dialectica** and **Differential  $\lambda$ -calculus**  
through  
Reverse Differentiation

# Gödel's Dialectica Transformation

1.  $(F \wedge G)' = (\exists yv) (zw) [A(y, z, x) \wedge B(v, w, u)].$
2.  $(F \vee G)' = (\exists yvt) (zw) [t=0 \wedge A(y, z, x) \vee t=1 \wedge B(v, w, u)].$
3.  $[(s)F]' = (\exists Y) (sz) A(Y(s), z, x).$
4.  $[(\exists s)F]' = (\exists sy) (z) A(y, z, x).$
5.  $(F \supset G)' = (\exists VZ) (yw) [A(y, Z(yw), x) \supset B(V(y), w, u)].$
6.  $(\neg F)' = (\exists \bar{Z}) (y) \neg A(y, \bar{Z}(y), x).$



*Kurt Gödel (1958). Über eine bisher noch nicht benützte Erweiterung des finiten Standpunktes. Dialectica.*

▶ Validates semi-classical axioms:

- ▶ Markov's principle :  $\neg \neg \exists x A \rightarrow \exists x A$  when  $A$  is decidable.

▶ Numerous applications :

- ▶ Soundness results
- ▶ **Proof mining**: applying Dialectica to theorems in analysis extract quantitative information.

”There are infinitely many prime numbers.”

⇓

”For any  $m$  there exists some  $m < p \leq \lceil e^{m-\gamma} \rceil$  such that  $p$  is prime.

## And now for something completely different : Automatic Differentiation

How does one compute the differentiation of an algebraic expression, computed as a sequence of elementary operations ?

$$\begin{array}{lll} \text{E.g. : } z = y + \cos(x^2) & x_1 = x_0^2 & x'_1 = 2x_0x'_0 \\ & x_2 = \cos(x_1) & x'_2 = -x'_0 \sin(x_0) \\ & z = y + x_2 & z' = y' + 2x_2x'_2 \end{array}$$

**Derivative of a sequence of instruction**



**sequence of instruction × sequence of derivatives**

**Forward Mode differentiation** [Wengert, 1964]

$$(x_1, x'_1) \rightarrow (x_2, x'_2) \rightarrow (z, z').$$

**Reverse Mode differentiation:** [Speelpenning, Rall, 1980s]

$x_1 \rightarrow x_2 \rightarrow z \rightarrow z' \rightarrow x'_2 \rightarrow x'_1$  while keeping formal the unknown derivative.

# I hate graphs

$$D_u(f \circ g) = D_{g(u)}f \circ D_u(g)$$

▶ **Forward Mode differentiation :**

$$g(u) \rightarrow D_u g \rightarrow f(g(u)) \rightarrow D_{g(u)}f \rightarrow D_{g(u)}f \circ D_u(g).$$

▶ **Reverse Mode differentiation:**

$$g(u) \rightarrow f(g(u)) \rightarrow D_{g(u)}f \rightarrow D_u(g) \rightarrow D_{g(u)}f \circ D_u(g)$$

The choice of an algorithm is due to complexity considerations:

▶ **Forward mode** for  $f \circ g : \mathbb{R} \rightarrow \mathbb{R}^n$ .

▶ **Reverse mode** for  $f \circ g : \mathbb{R}^n \rightarrow \mathbb{R}$

$\rightsquigarrow$  *Differentiable programming* is a new research area triggered by the advances of deep learning algorithms on neural networks, it tries to attach two very old domains: lambda-calculus and automatic differentiation, with *correctness* and *modularity* goals in mind.

# Functorial Forward AD

$$\mathbf{D}_u(f \circ g) = \mathbf{D}_{g(u)}f \circ \mathbf{D}_u(g)$$

Non-functorial !!!

How to make differentiation functorial ? **Make it act on pairs !**

**Forward Mode differentiation :**

$$g : E \Rightarrow F \rightsquigarrow \vec{D}g : E \Rightarrow E \multimap F.$$

**Functorial forward differentiation :**

$$\vec{D}(g) : \begin{cases} E \times E \rightarrow F \times F \\ (a, x) \mapsto (f(a), (D_a f \cdot x)) \end{cases}$$



# Reverse functorial differentiation

## Linear implication

$$A^\perp \equiv A \multimap \perp \equiv \mathcal{L}(A, \mathbb{R}) \equiv A'$$

# Reverse functorial differentiation

## Linear implication

$$A^\perp \equiv A \multimap \perp \equiv \mathcal{L}(A, \mathbb{R}) \equiv A'$$

► **Reverse Mode differentiation:**

$$g(u) \rightarrow f(g(u)) \rightarrow D_{g(u)}f \rightarrow D_{g(u)}f \circ D_u(g)$$

$$D_u(g) : F' \multimap E'; \ell \mapsto \ell \circ D_u g$$

$$g : E \Rightarrow F \rightsquigarrow \overleftarrow{D}g : E \Rightarrow F^\perp \multimap E^\perp.$$

[Mazza, Pagani, POPL2020]

# Reverse functorial differentiation

## Linear implication

$$A^\perp \equiv A \multimap \perp \equiv \mathcal{L}(A, \mathbb{R}) \equiv A'$$

### ► Reverse Mode differentiation:

$$g(u) \rightarrow f(g(u)) \rightarrow D_{g(u)}f \rightarrow D_{g(u)}f \circ D_u(g)$$

$$D_u(g) : F' \multimap E'; \ell \mapsto \ell \circ D_u g$$

$$g : E \Rightarrow F \rightsquigarrow \overleftarrow{D}g : E \Rightarrow F^\perp \multimap E^\perp.$$

[Mazza, Pagani, POPL2020]

### ► Reverse functorial differentiation :

$$(f, \overleftarrow{D}(f)) : (E \Rightarrow F) \times (E \Rightarrow F^\perp \multimap E^\perp)$$

# Outline of the talk

- Reverse differentiation and differentiable programming.
- Dialectica acting on formulas.
- Dialectica acting on  $\lambda$ -terms.
- Factorizing Dialectica through differential linear logic.
- Applications and related work.

# A Dialectica Transformation

- ▶ Gödel Dialectica transformation [1958] : a translation from intuitionistic arithmetic to a finite type extension of primitive recursive arithmetic.

$$A \rightsquigarrow \exists u : \mathbb{W}(A), \forall x : \mathbb{C}(A), A^D[u, x]$$

- ▶ De Paiva [1991]: the linearized Dialectica translation operates on Linear Logic (types) and  $\lambda$ -calculus (terms).
- ▶ Pedrot [2014] A *computational* Dialectica translation preserving  $\beta$ -equivalence, via the introduction of an "abstract multiset constructor" on types on the target.

# Gödel's Dialectica

1.  $(F \wedge G)' = (\exists yv) (zw) [A (y, z, x) \wedge B (v, w, u)].$
2.  $(F \vee G)' = (\exists yvt) (zw) [t=0 \wedge A (y, z, x) \cdot \vee \cdot t=1 \wedge B (v, w, u)].$
3.  $[(s) F]' = (\exists Y) (sz) A (Y (s), z, x).$
4.  $[(\exists s) F]' = (\exists sy) (z) A (y, z, x).$
5.  $(F \supset G)' = (\exists VZ) (yw) [A (y, Z (yw), x) \supset B (V (y), w, u)].$
6.  $(\neg F)' = (\exists \bar{Z}) (y) \neg A (y, \bar{Z} (y), x).$



Kurt Gödel (1958). Über eine bisher noch nicht benützte Erweiterung des finiten Standpunktes. *Dialectica*.

# Gödel's Dialectica

- ▶ Validates semi-classical axioms:
  - ▶ Markov's principle :  $\neg\neg\exists xA \rightarrow \exists xA$  when  $A$  is decidable.
  - ▶ Independent of premises :  $(A \rightarrow \exists xB) \rightarrow (\exists x.(A \rightarrow B))$
- ▶ Numerous applications :
  - ▶ Soundness results
  - ▶ Proof mining

A further distinguishing feature of the D-interpretation is its nice behavior with respect to modus ponens. In contrast to cut-elimination, which entails a global (and computationally infeasible) transformation of proofs, the D-interpretation extracts constructive information through a purely local procedure: when proofs of  $\varphi$  and  $\varphi \rightarrow \psi$  are combined to yield a proof of  $\psi$ , witnessing terms for the antecedents of this last inference are combined to yield a witnessing term for the conclusion. As a result of this modularity, the interpretation of a theorem can be readily obtained from the interpretations of the lemmata used in its proof.



Jeremy Avigad and Solomon Feferman (1999). Gödel's functional ("Dialectica") interpretation

# A peek into Dialectica interpretation of functions

$$(A \rightarrow B)_D = \exists f g \forall x y (A_D(x, gxy) \rightarrow B_D(fx, y))$$

**Usual explanation** : least unconstructive prenexation.

- ▶ Start from  $\exists x, \forall u, A_D[x, u] \rightarrow \exists y, \forall v, B_D[y, v]$ .
- ▶ Obvious prenexation :  $\forall x (\forall u, A_D[x, u] \rightarrow \exists y, \forall v, B_D[y, v])$
- ▶ Weak form of IP :  $\forall x \exists y (\forall u, A_D[x, u] \rightarrow \forall v, B_D[y, v])$
- ▶ Prenexation :  $\forall x \exists y, \forall v, \forall \neg \neg \exists u (A_D[x, u] \rightarrow B_D[y, v])$ .
- ▶ Markov :  $\forall x, \exists y, \forall v, \exists u (A_D[x, u] \rightarrow B_D[y, v])$
- ▶ Axiom of choice :  $\exists f, \exists g, \forall u, \forall v, (A_D(u, guv) \rightarrow B_D[fu, v])$ .

**Dynamic behaviour** : agrees to a chain rule.

Mathematical meaning : it's some kind of approximation.



## Dialectica verifies the chain rules

$$(A \Rightarrow B)_D[\phi_1; \psi_1, u_1; v_1] := A_D(u_1, \psi_1 u_1 v_1) \Rightarrow B_D(\phi_1 u_1, v_1)$$

$$(B \Rightarrow C)_D[\phi_2; \psi_2, u_2; v_2] := B_D(u_2, \psi_2 u_2 v_2) \Rightarrow C_D(\phi_2 u_2, v_2)$$

$$(A \Rightarrow C)_D[\phi_3; \psi_3, u_3; v_3] := A_D(u_3, \psi_3 u_3 v_3) \Rightarrow C_D(\phi_3 u_3, v_3)$$

The Dialectica interpretation amounts to the following equations:

$$u_3 = u_1$$

$$\psi_3 u_3 v_3 = \psi_1 u_1 v_1$$

$$v_3 = v_2$$

$$\phi_2 u_2 = \phi_1 u_1$$

$$u_2 = \phi_1 u_1$$

$$v_2 = \phi_1 u_1 v_1$$

which can be simplified to:

$$\phi_3(u_3) = \phi_2(\phi_1 u_3) \text{ composition of functions}$$

$$\psi_3 * (u_3 v_3) = \psi_2(\phi_1 u_3)(\psi_1 u_3 v_3) \text{ composition of their differentials}$$

# Types !

Programs and variable are **typed**

by logical formulas which describe their behavior

$$A \rightsquigarrow \exists x : \overbrace{\mathbb{W}(A)}^{\text{witness}}, \forall u : \underbrace{\mathbb{C}(A)}_{\text{opponent}}, A_D[x, u]$$

**Witness and counter types :**

$$\mathbb{C}(A \Rightarrow B) = \mathbb{C}(A) \times \mathbb{C}(B)$$

$$\mathbb{W}(A \Rightarrow B) = (\mathbb{W}(A) \Rightarrow \mathbb{W}(B)) \times (\mathbb{W}(A) \Rightarrow \mathbb{C}(B) \Rightarrow \mathbb{C}(A))$$

# Types !

Programs and variable are **typed**

by logical formulas which describe their behavior

$$A \rightsquigarrow \exists \overbrace{x : \mathbb{W}(A)}^{\text{global witness}}, \forall \underbrace{u : \mathbb{C}(A)}_{\text{local opponent}}, A_D[x, u]$$

**Witness and counter for implication types :**

$$\mathbb{C}(A \Rightarrow B) = \mathbb{W}(A) \times \mathbb{C}(B)$$

$$\mathbb{W}(A \Rightarrow B) = \overbrace{(\mathbb{W}(A) \Rightarrow \mathbb{W}(B))}^{\text{function}} \times \left( \mathbb{W}(A) \Rightarrow \underbrace{\mathbb{C}(B) \Rightarrow \mathbb{C}(A)}_{\text{reverse derivative}} \right)$$

**Reverse Mode differentiation:**

$$\text{Functorial} : (h, \overleftarrow{D}h) : (A \Rightarrow B) \times (A \Rightarrow B^\perp \multimap A^\perp)$$

**However:**

- ▶ Having the same type does not mean you're the same program.
- ▶ We (linear logicians) know what program differentiation is.

The computational Dialectica : a reverse Differential  $\lambda$ -calculus

# A computational Dialectica

Making Dialectica act on  $\lambda$ -terms instead of formulas:

An abstract multiset  $\mathfrak{M}(-)$

$$\frac{}{\Gamma \vdash \emptyset : \mathfrak{M} A} \qquad \frac{\Gamma \vdash m_1 : \mathfrak{M} A \quad \Gamma \vdash m_2 : \mathfrak{M} A}{\Gamma \vdash m_1 \circledast m_2 : \mathfrak{M} A}$$
$$\frac{\Gamma \vdash t : A}{\Gamma \vdash \{t\} : \mathfrak{M} A} \qquad \frac{\Gamma \vdash m : \mathfrak{M} A \quad \Gamma \vdash f : A \Rightarrow \mathfrak{M} B}{\Gamma \vdash m \gg f : \mathfrak{M} B}$$

$$\begin{aligned} \mathbb{W}(A \Rightarrow B) &:= (\mathbb{W}(A) \Rightarrow \mathbb{W}(B)) \\ &\quad \times (\mathbb{C}(B) \Rightarrow \mathbb{W}(A) \Rightarrow \mathfrak{M} \mathbb{C}(A)) \\ \mathbb{C}(A \Rightarrow B) &:= \mathbb{W}(A) \times \mathbb{C}(B) \end{aligned}$$

# Pédrot's Dialectica Transformation

## Soundness [Ped14]

If  $\Gamma \vdash t : A$  in the source then we have in the target

- ▶  $\mathbb{W}(\Gamma) \vdash t^\bullet : \mathbb{W}(A)$
- ▶  $\mathbb{W}(\Gamma) \vdash t_x : \mathbb{C}(A) \Rightarrow \mathfrak{M} \mathbb{C}(X)$  provided  $x : X \in \Gamma$ .

## A global and a local transformation

$$\begin{array}{ll} x^\bullet & := x & (\lambda x. t)^\bullet & := (\lambda x. t^\bullet, \lambda \pi x. t_x \pi) \\ x_x & := \lambda \pi. \{\pi\} & (\lambda x. t)_y & := \lambda \pi. (\lambda x. t_y) \pi.1 \pi.2 \\ x_y & := \lambda \pi. \emptyset \text{ if } x \neq y & (t u)^\bullet & := (t^\bullet.1) u^\bullet \end{array}$$

$$(t u)_y := \lambda \pi. (t_y (u^\bullet, \pi)) \circledast ((t^\bullet.2) \pi u^\bullet \ggg u_y)$$

# Flashback: Differential $\lambda$ -calculus [Ehrhard, Regnier 04]

Inspired by denotational models of Linear Logic in vector spaces of sequences, it introduces a differentiation of  $\lambda$ -terms.

$D(\lambda x.t)$  is the **linearization** of  $\lambda x.t$ , it substitute  $x$  linearly, and then it remains a term  $t'$  where  $x$  is free.

Syntax:

$$\begin{aligned}\Lambda^d : S, T, U, V &::= 0 \mid s \mid s+T \\ \Lambda^s : s, t, u, v &::= x \mid \lambda x.s \mid sT \mid \mathbf{D}s \cdot t\end{aligned}$$

Operational Semantics:

$$\begin{aligned}(\lambda x.s)T &\rightarrow_{\beta} s[T/x] \\ \mathbf{D}(\lambda x.s) \cdot t &\rightarrow_{\beta_D} \lambda x. \frac{\partial s}{\partial x} \cdot t\end{aligned}$$

where  $\frac{\partial s}{\partial x} \cdot t$  is the **linear substitution** of  $x$  by  $t$  in  $s$ .

## The linear substitution ...

... which is not exactly a substitution

$$\frac{\partial y}{\partial x} \cdot t = \begin{cases} t & \text{if } x = y \\ 0 & \text{otherwise} \end{cases} \quad \frac{\partial}{\partial x}(tu) \cdot s = \left(\frac{\partial t}{\partial x} \cdot s\right)u + (Dt \cdot \left(\frac{\partial u}{\partial x} \cdot s\right))u$$

$$\frac{\partial}{\partial x}(\lambda y \cdot s) \cdot t = \lambda y \cdot \frac{\partial s}{\partial x} \cdot t \quad \frac{\partial}{\partial x}(Ds \cdot u) \cdot t = D\left(\frac{\partial s}{\partial x} \cdot t\right) \cdot u + Ds \cdot \left(\frac{\partial u}{\partial x} \cdot t\right)$$

$$\frac{\partial 0}{\partial x} \cdot t = 0 \quad \frac{\partial}{\partial x}(s + u) \cdot t = \frac{\partial s}{\partial x} \cdot t + \frac{\partial u}{\partial x} \cdot t$$

$\frac{\partial s}{\partial x} \cdot t$  represents  $s$  where  $x$  is linearly (i.e. one time) substituted by  $t$ .



# The linear substitution ...

## The computational Dialectica

$$\frac{\partial y}{\partial x} \cdot t = \begin{cases} t & \text{if } x = y \\ 0 & \text{otherwise} \end{cases} \quad \frac{\partial}{\partial x}(tu) \cdot s = \left(\frac{\partial t}{\partial x} \cdot s\right)u + (Dt \cdot \left(\frac{\partial u}{\partial x} \cdot s\right))u$$

$$x_y \cdot \pi = \begin{cases} \pi & \text{if } x = y \\ \emptyset & \text{otherwise} \end{cases} \quad (t u)_y := \lambda \pi. (t_y (u^\bullet, \pi)) \otimes ((t^\bullet \cdot 2) \pi u^\bullet \gg= u_y)$$

$$\frac{\partial}{\partial x}(\lambda y. s) \cdot t = \lambda y. \frac{\partial s}{\partial x} \cdot t \quad \frac{\partial}{\partial x}(Ds \cdot u) \cdot t = D\left(\frac{\partial s}{\partial x} \cdot t\right) \cdot u + Ds \cdot \left(\frac{\partial u}{\partial x} \cdot t\right)$$

$$\frac{\partial 0}{\partial x} \cdot t = 0 \quad \frac{\partial}{\partial x}(s + u) \cdot t = \frac{\partial s}{\partial x} \cdot t + \frac{\partial u}{\partial x} \cdot t$$

# Tracking differentiation in Dialectica

## Soundness [Ped14]

If  $\Gamma \vdash t : A$  in the source then we have in the target

- ▶  $\mathbb{W}(\Gamma) \vdash t^\bullet : \mathbb{W}(A)$
- ▶  $\mathbb{W}(\Gamma) \vdash t_x : \mathbb{C}(A) \Rightarrow \mathfrak{M} \mathbb{C}(X)$  provided  $x : X \in \Gamma$ .

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## That's reverse differentiation

- ▶  $(-)^\bullet$ .2 obeys the chain rule,  $(-)^\bullet$  is the functorial differentiation.
- ▶  $t_x$  is contravariant in  $x$ , representing a reverse linear substitution.

# Tracking differentiation in Dialectica

## Soundness [Ped14]

If  $\Gamma \vdash t : A$  in the source then we have in the target

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## That's reverse differentiation

- ▶  $(-)^{\bullet,2}$  obeys the chain rule,  $(-)^{\bullet}$  is the functorial differentiation.
- ▶  $t_x$  is contravariant in  $x$ , representing a reverse linear substitution.

## Theorem [K. Pédrot 22]

$$\llbracket u \gg = t_x[\Gamma \leftarrow \vec{r}^\bullet] \rrbracket \equiv_{\beta, \eta} \lambda z. (\llbracket u \rrbracket ((\partial x.t[\Gamma \leftarrow \vec{r}^\bullet])z))$$

# A Linear Logic Refinement

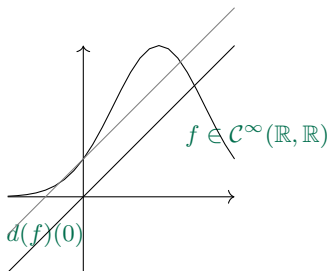
# Differential Linear Logic

$$\frac{\vdash \ell : A \multimap B}{\vdash \ell : !A \multimap B} d$$

A linear proof  
is in particular non-linear.

$$\frac{\vdash f : !A \multimap B}{\vdash D_0 f : A \multimap B} \bar{d}$$

From a non-linear proof  
we can extract a linear proof



Differential interaction nets, Ehrhard and Regnier, TCS (2006)

# Exponential rules of Differential Linear Logic

$$\frac{\vdash \Gamma}{\vdash \Gamma, \mathit{cst}_1 : ?A} w$$

$$\frac{\vdash \Gamma, f : ?A, g : ?A}{\vdash \Gamma, f.g : ?A} c$$

$$\frac{\vdash \Gamma, \ell : A}{\vdash \Gamma, \ell : ?A} d$$

$$\frac{\vdash \Gamma}{\vdash \Gamma, \delta_0 : !A} \bar{w}$$

$$\frac{\vdash \Gamma, \phi : !A \quad \vdash \Delta, \psi : !A}{\vdash \Gamma, \Delta, \psi * \phi : !A} \bar{c}$$

$$\frac{\vdash \Gamma, x : A}{\vdash \Gamma, D_0(-)(x) : !A} \bar{d}$$

$$\frac{? \Gamma \vdash x : A}{? \Gamma \vdash \delta_x : !A} p$$

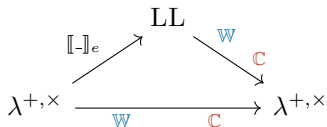
# Dialectica factorizes through Linear Logic

## The call by name arrow

$$A \Rightarrow B := !A \multimap B := (!A)^\perp \otimes B$$

$$\begin{array}{ll} \mathbb{W}(A^\perp) & := \mathbb{C}(A) & \mathbb{C}(A^\perp) & := \mathbb{W}(A) \\ \mathbb{W}(A \oplus B) & := \mathbb{W}(A) + \mathbb{W}(B) & \mathbb{C}(A \oplus B) & := \mathbb{C}(A) \times \mathbb{C}(B) \\ \mathbb{W}(!A) & := \mathbb{W}(A) & \mathbb{C}(!A) & := \mathbb{W}(A) \Rightarrow \mathbb{C}(A) \end{array}$$

$$\begin{array}{ll} \mathbb{W}(A \otimes B) & := \mathbb{W}(A) \times \mathbb{W}(B) \\ \mathbb{C}(A \otimes B) & := (\mathbb{W}(A) \Rightarrow \mathbb{C}(B)) \times (\mathbb{W}(B) \Rightarrow \mathbb{C}(A)) \end{array}$$



Valeria de Paiva, 1989, A dialectica-like model of linear logic.



# Dialectica factorizes through Differential Linear Logic

Witnesses are functorial reverse derivative

$$\mathbb{W}(A \Rightarrow B) = (\mathbb{W}(A) \Rightarrow \mathbb{W}(B)) \times (\mathbb{W}(A) \Rightarrow \mathbb{C}(B) \Rightarrow \mathbb{C}(A))$$

$$\mathbb{W}(!A) := !\mathbb{W}(A) \quad \mathbb{C}(!A) := !\mathbb{W}(A) \multimap \mathbb{C}(A)$$

$$\mathbb{W}(A \otimes B) := \mathbb{W}(A) \otimes \mathbb{W}(B)$$

$$\mathbb{C}(A \otimes B) := (\mathbb{W}(A) \multimap \mathbb{C}(B)) \oplus (\mathbb{W}(B) \multimap \mathbb{C}(A))$$

$$\mathbb{W}(A \multimap B) := (\mathbb{W}(A) \multimap \mathbb{W}(B)) \& (\mathbb{C}(B) \multimap \mathbb{C}(A))$$

$$\mathbb{C}(A \multimap B) := \mathbb{W}(A) \otimes \mathbb{C}(B)$$

If  $\Gamma \vdash A$  in LL, then  $\mathbb{W}(\Gamma) \vdash \mathbb{W}(A)$  in classical DiLL.

$$\frac{\frac{\frac{}{\vdash A, A^\perp} \text{ax}}{\vdash A, !A^\perp} \bar{d}}{\vdash ?A, A, !A^\perp} \bar{c} \quad \frac{\frac{}{\vdash ?A, !A^\perp} \text{ax}}{\Gamma \vdash ?A} \pi}{\Gamma \vdash ?A, A} \text{cut}$$

# Dialectica factorizes through Differential Linear Logic

## The economical translation

$$\llbracket A \Rightarrow B \rrbracket_e := !A \multimap B$$

$$\llbracket A \times B \rrbracket_e := A \& B$$

$$\llbracket A + B \rrbracket_e := A \oplus B$$

$$\begin{array}{ccc} \text{ILL} & \xrightarrow[\text{C}]{\text{W}} & \text{IDiLL} \\ \llbracket - \rrbracket_e \uparrow & & \downarrow \dots \\ \lambda^{+, \times} & \xrightarrow[\text{C}]{\text{W}} & \lambda^{+, \times} \end{array}$$

**IDILL : Intuitionnistic Differential Linear Logic ? Oh no ...**

Dialectica is differentiation in categories

# What's categorical differentiation ?

**To cook a good differential category, one needs :**

- ▶ A category of regular/continuous/non-linear functions

$$\mathbb{C}(A, B) = !A \multimap B .$$

- ▶ A category of linear functions, in which differentiation embeds

$$\mathcal{L}(A, B) = A \multimap B .$$

- ▶ Something which linearizes :

$$\bar{d} : A \rightarrow !A$$

- ▶ A notion of duality, if one wants to encode reverse. differentiation.

$\rightsquigarrow$  Basically, one wants a categorical model of DILL.

# Dialectica categories

## Categories representing specific relations

Consider a category  $\mathcal{C}$ .  $\mathbf{Dial}(\mathcal{C})$  is constructed as follows:

- ▶ Objects : relations  $\alpha \subseteq U \times X, \beta \subseteq V \times Y$ .
- ▶ Maps from  $\alpha$  to  $\beta$  :

$$(f : U \rightarrow V, F : U \times Y \rightarrow X)$$

- ▶ Composition : the chain rule !

Consider

$$\begin{aligned} & (f, F) : \alpha \subseteq (A, X) \rightarrow \beta \subseteq (B, Y) \\ \text{and } & (g, G) : \beta \subseteq (B, Y) \rightarrow \gamma \subseteq (C, Z) \end{aligned}$$

two arrows of the Dialectica category. Then their composition is defined as

$$(g, G) \circ (f, F) := (g \circ f, (a, z) \mapsto G(f(a), F(a, z))).$$

## Dialectica categories through Differential Categories

In a  $*$ -autonomous differential category : from  $f : !A \rightarrow B$  one constructs :

$$\overleftarrow{D}(f) \in \mathcal{L}(!A \otimes B^\perp, A^\perp).$$

### Dialectica categories factorize through differential categories

If  $\mathcal{L}$  is a model of DILL such that  $\mathcal{L}_!$  has finite limits:

$$\begin{cases} \mathcal{L}_! & \rightarrow & \mathcal{D}(\mathcal{L}_!) \\ A & \mapsto & A \times A^\perp \\ f & \mapsto & (f, \overleftarrow{D}(f)) \end{cases}$$

We have an obvious forgetful functor:

$$U : \begin{cases} \mathcal{D}(\mathcal{L}_!) & \rightarrow & \mathcal{L}_! \\ \alpha \subseteq A \times X & \mapsto & A \\ (f, F) & \mapsto & f \end{cases}$$

which is left adjoint to  $\mathcal{R}$ , forming a reflection on  $\mathcal{L}_{oc}$ .

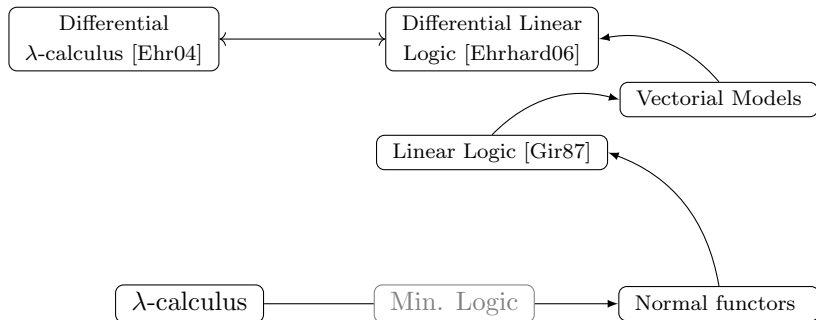
*To be declined in reverse/cartesian differential categories...*

# Recap

<b>Programs</b>	<b>Logic</b>	<b>Semantics</b>
<code>fun (x:A)-&gt; (t:B)</code>	Proof of $A \vdash B$	$f : A \rightarrow B.$
Types	Formulas	Objects
Execution	Cut-elimination	Equality

# Recap

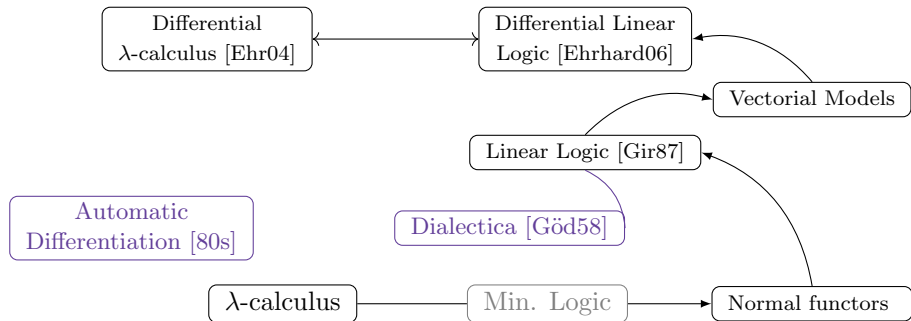
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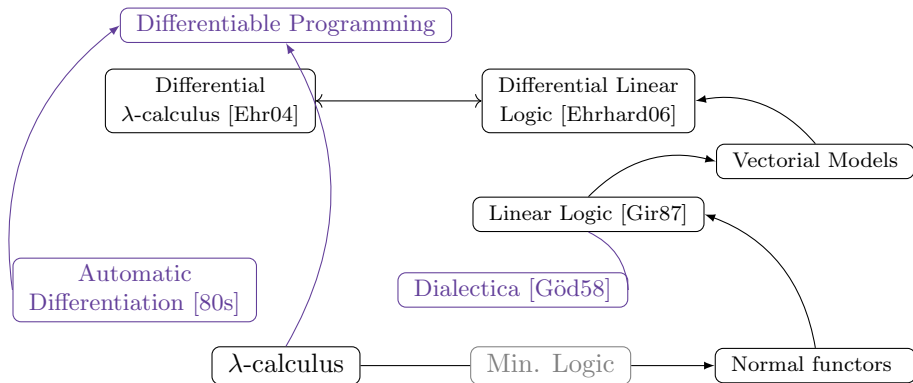
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# Recap

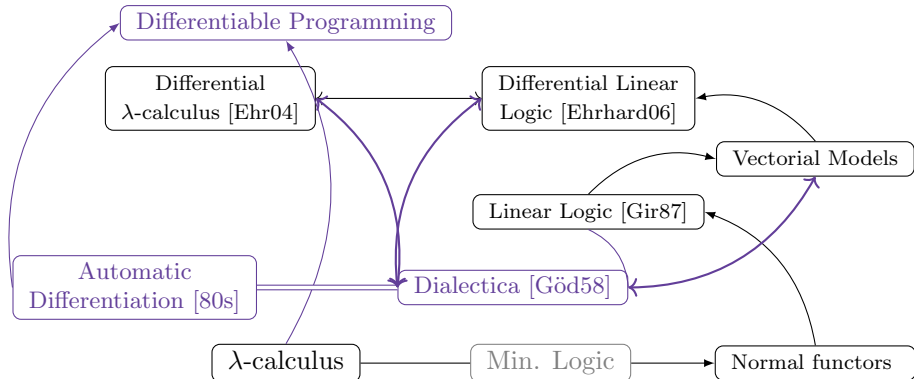
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*A good point for logicians : Gödel invented Dialectica 40 years before reverse differentiation was put to light*

# Recap

Programs	Logic	Semantics
$\text{fun } (x:A) \rightarrow (t:B)$	Proof of $A \vdash B$	$f : A \rightarrow B.$
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## Conclusion and applications

## Take home message:

**Dialectica is functorial reverse differentiation,**  
extracting ~~intensional~~ local content from proofs.

A new semantical correspondance between computations and mathematics :  
~~intentional meaning~~ of program is **local behaviour** of functions.

Program	Proof	Function
Quantitative	Resources	Linearity
<b>Control</b>	<b>Classical Principles</b>	<b>Differentiation</b>
	<b>Intentional</b>	<b>Local</b>
	<b>Extentional</b>	<b>Global</b>

## Related work and applications:

- ▶ **Markov's principle** and delimited continuations on positive formulas.
- ▶ **Proof mining** and backpropagation.

# Dialectica is differentiation ...

... We knew it already !

*The codereliction of differential proof nets:* In terms of polarity in linear logic [23], the  $\forall\multimap$ -free constraint characterizes the formulas of intuitionistic logic that can be built only from positive connectives ( $\oplus$ ,  $\otimes$ ,  $0$ ,  $1$ ,  $!$ ) and the why-not connective (“?”). In this framework, Markov’s principle expresses that from such a  $\forall\multimap$ -free formula  $A$  (e.g.  $? \oplus_x (?A(x) \otimes ?B(x))$ ) where the presence of “?” indicates that the proof possibly used weakening (efq or throw) or contraction (catch), a linear proof of  $A$  purged from the occurrences of its “?” connective can be extracted (meaning for the example above a proof of  $\oplus_x (A(x) \otimes B(x))$ ).

Interestingly, the removal of the “?”, i.e. the steps from  $?P$  to  $P$ , correspond to applying the codereliction rule of differential proof nets [24].

**Differentiation :**  $(?P = (P \multimap \perp) \Rightarrow \perp) \rightarrow ((P \multimap \perp) \multimap \perp) \equiv P$



Hugo Herbelin, “An intuitionistic logic that proves Markov’s principle”, LICS

’10 .

# Differentiation and delimited continuations

## Herbelin Lics'10

Markov's principle is proved by allowing `catch` and `throw` operations on hereditary positive formulas.

$$\frac{\frac{\frac{\overline{a : \neg\neg T \vdash_{\alpha:T} a : \neg\neg T} \text{ AXIOM} \quad \frac{\frac{\overline{b : T \vdash_{\alpha:T} b : T} \text{ AXIOM} \quad \frac{b : T \vdash_{\alpha:T} \text{throw}_{\alpha} b : \perp}{\vdash_{\alpha:T} \lambda b. \text{throw}_{\alpha} b : \neg T} \text{ THROW}}{\vdash_{\alpha:T} \lambda b. \text{throw}_{\alpha} b : \neg T} \rightarrow_I}{\vdash_{\alpha:T} \lambda b. \text{throw}_{\alpha} b : \neg T} \rightarrow_E}{\frac{a : \neg\neg T \vdash_{\alpha:T} a (\lambda b. \text{throw}_{\alpha} b) : \perp}{a : \neg\neg T \vdash_{\alpha:T} \text{efq } a (\lambda b. \text{throw}_{\alpha} b) : T} \perp_E}{a : \neg\neg T \vdash \text{catch}_{\alpha} \text{efq } a (\lambda b. \text{throw}_{\alpha} b) : T} \text{ CATCH}}{\vdash \lambda a. \text{catch}_{\alpha} \text{efq } a (\lambda b. \text{throw}_{\alpha} b) : \neg\neg T \rightarrow T} \rightarrow_I$$

Figure 3. Proof of *MP*

## Extracting quantitative information from proofs.

Effective moduli from ineffective uniqueness proofs. An unwinding of de La Vallée Poussin's proof for Chebycheff approximation\*

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### Abstract

We consider uniqueness theorems in classical analysis having the form

$$(+)\ \forall u \in U, v_1, v_2 \in V_u \left( G(u, v_1) = 0 = G(u, v_2) \rightarrow v_1 = v_2 \right),$$

where  $U, V$  are complete separable metric spaces,  $V_u$  is compact in  $V$  and  $G : U \times V \rightarrow \mathbb{R}$  is a constructive function.

If (+) is proved by arithmetical means from analytical assumptions

$$(++)\ \forall x \in X \exists y \in Y_x \forall z \in Z \left( F(x, y, z) = 0 \right)$$

only (where  $X, Y, Z$  are complete separable metric spaces,  $Y_x \subset Y$  is compact and  $F : X \times Y \times Z \rightarrow \mathbb{R}$  constructive), then we can extract from the proof of  $(++) \rightarrow (+)$  an effective modulus of uniqueness, i.e.

$$(+++)\ \forall u \in U, v_1, v_2 \in V_u, k \in \mathbb{N} \left( |G(u, v_1)|, |G(u, v_2)| \leq 2^{-\Phi u k} \rightarrow d_V(v_1, v_2) \leq 2^{-k} \right).$$



**Extracting quantitative information from proofs.**

$$\forall u, v_1 v_2, \text{Pol}(u, v_1) = \text{Pol}(u, v_2) \rightarrow v_1 = v_2$$

$\Downarrow$

$$\forall u, v_1 v_2, \forall \epsilon > 0, \exists \eta > 0, \|G(u, v_1) - G(u, v_2)\| < \eta \rightarrow d_V(v_1, v_2) < \epsilon$$

$\Downarrow$

$$\exists \phi, \forall u, k, v_1 v_2, \|G(u, v_1) - G(u, v_2)\| < 2^{-\phi(u, k)} \rightarrow d_V(v_1, v_2) < 2^{-k}.$$

# Proof Mining

Markov's principle and the independence of premises are necessary for most of **mathematical analysis proofs** :

Proof mining allows to refine these proofs by taking away these principles as guaranteed by (some variant of) Dialectica's transformation.

## Conjecture

Does it differentiate the function  $(\epsilon \rightarrow \eta)$  in :

$$\forall u, v_1 v_2, \forall \epsilon > 0, \exists \eta > 0, \|G(u, v_1) - G(u, v_2)\| < \eta \rightarrow d_V(v_1, v_2) < \epsilon$$

?

Is proof mining (based on) [reverse differentiation applied to proofs](#)?

What else can we explain by differentiation ?